μV

OCI 24 2001 TO UNI

Sedor & #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :

Sajeev John et al.

Appl. No. :

09/909,998 07/23/2001

Filed Title

ELECTRO-ACTIVELY TUNABLE PHOTONIC BANDGAP

Grp./A.U.:

2871

Examiner

Docket No.:

13727

Honorable Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED

Submitted herewith is a copy of FORM PTO-1533 dated

September 4, 2001, together with a Declaration and Power of

Attorney and Claim for Small Entity Status signed by the inventors.

A check in the amount of \$876.00 is also enclosed, which includes the government filing fee of \$370.00, \$65.00 for the late filing of the signed declaration and \$441.00 for claims in excess of 20. Any deficiencies in the fees may be charged to Deposit Account No. 04-1577.

It is now believed that the application should be ready for normal processing. In the event any additional information is required by the Application Branch it would be appreciated if

Appl. No. 09/909,998

they would contact the undersigned attorney of record at the telephone number shown below.

Respectfully submitted,

DOWELL & DOWELL, P. C.

Ralph A. Dowell, Reg. No.: 26,868

DOWELL & DOWELL, P. C.

Date: October 24, 2001

Suite 309, 1215 Jefferson Davis Highway

Arlington, Virginia 22202 Telephone: (703) 415-2555 Facsimile: (703) 415-2559 E-mail: dowell@dowellpc.com











UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/909,998

Sajeev John

13727

CONFIRMATION NO. 1419

FORMALITIES LETTER

DOWELL & DOWELL, P.C. Ralph A. Dowell Suite 309 1215 Jefferson Davis Highway Arlington, VA 22202

Date Mailed: 09/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$441.
 - \$441 for 49 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 861.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000033 09909998 10/25/2001 HBERHE

01 FC:201 02 FC:205 03 FC:203 370.00 OP 65.00 OP

441.00 OP